

UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK

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In re : Chapter 11 Case No.
LEHMAN BROTHERS HOLDINGS INC., et al., : 08-13555 (JMP)
Debtors. : (Jointly Administered)
-----x Ref. Docket No. 41003

AFFIDAVIT OF SERVICE

STATE OF NEW YORK)
) ss.:
COUNTY OF NEW YORK)

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On November 8, 2013, I caused to be served the "Notice of Defective Transfer," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez
Lauren Rodriguez

Sworn to before me this
19th day of November, 2013

/s/ Cassandra Murray

Notary Public, State of New York
No. 01MU6220179
Qualified in Queens County
Commission Expires April 12, 2014

EXHIBIT A

UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK

In re:

Chapter 11

LEHMAN BROTHERS HOLDINGS, INC., et al.

Case No. 08-13555 (JMP)
Jointly Administered

Debtors.

NOTICE OF DEFECTIVE TRANSFER

Seller: RBS Coutts Bank AG
Stauffacherstrasse 1
Postfach
Zurich, 8022
Switzerland

Purchaser: Liquidity Solutions, Inc.
One University Plaza, Suite 312
Hackensack, NJ 07601

Your transfer as presented is defective for the reason(s) checked below:

- case closed on:
- address missing: (transferor) (transferee)
- signature of transferor missing
- claim number in error
- claim number not stated
- amount of transfer missing in Transfer Agreement
- not FRBP 3001(e)(2) OR (4); claim **NOT** on file
- not FRBP 3001(e)(1) OR (3); claim **ON** file
- notice amount does not match transfer agreement
- cannot docket transfer-claim #

[] expunged by court order

[] previously transferred

By document #

(X) other (specify): ISIN listed within Notice of Partial Transfer is not associated with Claim No. 45221

Docket Number: 41003

/s/ Lauren Rodriguez

Epiq Bankruptcy Solutions LLC as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the seller, purchaser, and debtor(s) counsel by first class mail, postage prepaid on November 8, 2013.

EXHIBIT B

RBS COUTTS BANK AG
STAUFFACHERSTRASSE 1
POSTFACH
ZURICH, 8022
SWITZERLAND

LIQUIDITY SOLUTIONS, INC.
ONE UNIVERSITY PLAZA, SUITE 312
HACKENSACK, NJ 07601